

April 20, 1942

TO: PROFESSOR H. R. WELLMAN

FROM: D. S. THOMAS

I have given you a copy of a proposal for a large-scale study on "The Mechanism and Consequences of the Wartime Civilian Control Program for the Evacuation and Resettlement of Certain Classes of the Population." This project has been approved by President Sproul and is being submitted to certain foundations. President Sproul is, however, not hopeful about getting any immediate action. In respect to one part of the project, we risk loss of the complete record of the rapidly shifting events unless we can begin work immediately. That aspect related to the factors involved in the transfer of urban and rural properties by the evacuees.

On the basis of a small grant from the Institute of Social Sciences, we have had Mr. Phillip Neff (previous biography is attached to this statement) working for us for the past week. He made a quick trip to Los Angeles and collected an appreciable amount of data. We would like to send Mr. Neff back to Los Angeles, which is the area most affected by the evacuation, if possible tomorrow, April 21. In order to do this -- to have him continue to make these records -- I respectfully request that he be appointed immediately as a research assistant of the Giannini Foundation for period of two months ~~at the usual salary for research assistants~~; that efforts be made to obtain the use of an automobile from U.C.L.A. for this same period intermittently; that we provide for his living expenses during this period. The estimated budget which would be required amounts to \$570, distributed as follows:

Salary at \$200 a month	\$400.00
Living expenses when away from Los Angeles	60.00
Railroad fare to Los Angeles and return	30.00
Use of automobile, 2,000 miles at 4 cents	80.00
Total	<u>\$570.00</u>

It is understood that if this grant is made, the Giannini Foundation is not committed to any further participation in the project; that if I want any further funds from Giannini I must apply again and that any decision will not be prejudiced by the grant now requested; that if the proposition which has been submitted to a foundation is accepted by a foundation on the terms requested, the Giannini Foundation will be reimbursed.

Sincerely yours,

Dorothy Swaine Thomas

DST/dr

Enclosure

Philip Neff. Age 25. Married.

Graduate of University of California, May 1939.

Teaching fellow, Elementary Economics, July 1939 to June 1941.

July 1941 to June 1942, Newton Booth Fellow in Economics.

Advanced to candidacy for Ph.D. in Economics, September 1941.

Special fields of study in economics are: Public Finance, Economic Theory, Money, Credit and Banking, Economic History, and the History of Economic Thought.

C O P Y

May 21, 1943

DEAN HUTCHISON:

The terms under which grants from the Rockefeller Foundation and the Columbia Foundation were made for the support of the Japanese Evacuation and Resettlement Study, under Dr. Thomas, obligated the University to the provision of a total of \$5,000 per year for a three-year period. In 1942-43, the first year of the study, this \$5,000 was provided, \$3,250 from the Giannini Foundation, \$1,000 from the Institute of Social Sciences, and \$750 from general funds of the University. For 1943-44 we cannot count upon anything from the Institute of Social Sciences, and I am wondering therefore, if you can do a little better from the Giannini Foundation than was done in 1942-43?

I should appreciate word from you at your early convenience, if you can, in order that I may know just what is the extent of my own obligation to raise money to meet our promise to the foundations.

Robert G. Sproul

Berkeley: College of Agriculture
Division of Agricultural
Economics

June 2, 1943

Dr. Dorothy S. Thomas
Rural Sociology
338 Giannini
Campus

Dear Dorothy:

The reason I am writing to you is that I am leaving for Washington, D.C., this Saturday and will not return before July 1.

It was nice to get your note of May 27, and to know that your work has been going well.

We have asked for an extension of your leave to June 17.

Enclosed herewith are copies of two letters concerning the contribution of the University of California for 1943-44. Will you return them for my files.

You will note that our income from the Giannini Foundation Endowment has been reduced substantially and also that the amount budgeted in Agricultural Economics clerical, statistical, and stenographic service has also been cut. Despite the fact, however, that the Giannini Foundation cannot contribute as much to your project in 1943-44 as it did in 1942-43, I am assuming that it will make no difference in your work, since the President is obligated to make up the difference.

Sincerely,

/s/ Harry

H.R. Wellman
Director
Giannini Foundation

HRW:lb

UNIVERSITY OF CALIFORNIA

College of Agriculture
Agricultural Experiment Station

Office of the Dean and Director
Berkeley, California

May 24, 1943

Dear President Sproul:

Your memorandum of May 21 concerning the financing of Doctor Dorothy Thomas' study on Japanese Evacuation and Resettlement during the coming year presents a rather difficult problem.

I am told by Dr. Wellman that the contribution of \$3,250 made from the Giannini Foundation and the Division of Agricultural Economics during 1942-43 included the following items:

Research assistant	\$900	
Travel subsistence	800	
Stenographic service	750	
Statistical "	600	
Supplies	<u>200</u>	\$3,250

These contributions came out of the following budgetary provisions of this College and represent about 10 percent thereof:

	<u>Giannini Foundation</u>	<u>Agricultural Economics</u>
General Assistance.....	\$5,500	\$1,000
Expense & Equipment.....	6,797.05	2,500
Clerical, statistical and stenographic services	-----	15,960
Library	<u>3,720</u>	<u>-----</u>
	\$16,017.05	\$19,460

We, of course, do not know at this time what our provisions for these items will be for 1943-44 but the budget proposals which I sent to you several weeks ago were as follows:

	<u>Giannini Foundation</u>	<u>Agricultural Economics</u>
General Assistance	\$3,200	\$ 1,000
Expense & Equipment	4,339.79	2,500
Clerical, statistical and stenographic service .	-----	13,320
Library	<u>2,100</u>	<u>-----</u>
	\$9,639.79	\$16,820

President Sproul
-2- 5-24-43

The estimated income from the Giannini Foundation for 1943-44 endowment is less than in 1942-43 by more than \$6,000. Of this reduction, \$4,457.21 is reflected in General Assistance and Expense & Equipment. With ten people on the staff, exclusive of Professor Voorhies, but including Professor Thomas, it would seem that a fair allotment of these maintenance funds for Dr. Thomas might again be ten percent. If we figure on that basis, our contribution to her project, exclusive of her salary, would be the following:

General Assistance		
10% of \$4,200	\$	420.00
Expense & Equipment		
10% of \$6,839.79		683.98
Clerical, stenographic and statistical service		
10% of \$13,320		1,332.00
Library		
10% of \$2,100	210.00	\$2,645.98

Thus, I arrive at the conclusion that we not only cannot increase our support of Dr. Thomas' project next year, but our contribution will have to be around \$600 less than in 1942-43.

Two possible means of adjustment occur to me:

1. Include some or all of Professor Thomas' salary in the University's contribution. Apparently she will be devoting almost, if not quite, full time to the project during the year.
2. Further contribution from other University sources. This would increase, rather than diminish, your problem.
3. Restrict other activities in Agricultural Economics. This I would be most reluctant to do.

Very sincerely yours,

/s/ C. B. Hutchison

CBH/EDR
cc Dr. Wellman

President R. G. Sproul
250 Am. Bldg.
U. C. Campus

CONTRA
FOUNDATION
AGREEMENT

SPROUL COMMITTED
TO THIS

WHY NOT?
M

July 6, 1943

Dear Dr. Thomas:

Enclosed herewith is a copy of my letter to Dean Hutchison regarding the contribution which the Giannini Foundation and the Division of Agricultural Economics could make to your Japanese Evacuation and Resettlement study in 1943-44.

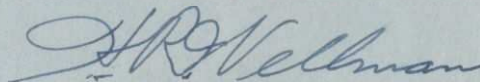
In accordance with our conversation I shall be glad to make the allotments for general assistance and for expense and equipment as flexible as possible. You may desire to have an increase in general assistance and a corresponding reduction in expense and equipment. If so, please let me know and we shall make the necessary adjustments here.

With regard to the use of general assistance funds, we will put on our monthly payroll such persons as you may designate and whose work is in Berkeley.

With regard to expense and equipment we shall charge you with the prorata share of our telephone bill, the amount of postage which we furnish you, and the amount of supplies which we use in service work for you. The balance of expense and equipment should be used for travel by you. As I understand it, you will pay for all of your long distance telephone calls and your telegrams and that you will purchase all of your supplies except those which we supply in connection with service work.

As nearly as possible I should like the stenographic service that we provide to be used in the typing of manuscripts which need not be done in too great a hurry. If you should want some statistical service in lieu of an equivalent amount of stenographic service, we will try to meet your needs, within the limits of the \$996.00 allotted for both stenographic and statistical service.

Very truly yours,



H. R. Wellman
Director
Giannini Foundation

July 6, 1943

Dean C. B. Hutchison
College of Agriculture
Campus

Dear Dean Hutchison:

From the Giannini Foundation and the Division of Agricultural Economics funds we shall be able to contribute to the support of the Japanese Evacuation and Resettlement Study, under Dr. Dorothy S. Thomas, the following amounts in 1943-44:

General Assistance	\$400.00
Expense and Equipment	654.00
Stenographic and Statistical service	996.00
Total	\$2,050.00

8484
200 transfer
454.00 to
Gen. Ass. 854.00
Exp. & Eqpt. 200.00

The amounts for general assistance and expense and equipment represent 10 per cent of our total 1943-44 budget for these items, and the amount for stenographic and statistical service represents 10 per cent of our stenographic and statistical salaries, exclusive of Miss Lynn's salary. Miss Lynn's salary is not included because it is very unlikely that she will devote as much as 2 or 3 per cent of her time to this study.

You will note that I have made no allowance for library service, since Dr. Thomas tells me that she has no occasion to use the Giannini Foundation Library in connection with this study.

In view of our reduced budget this year, I feel that the amounts indicated above would be a fair allotment for the Giannini Foundation and the Division of Agricultural Economics to make to the Japanese Evacuation and Resettlement Study in 1943-44.

Sincerely,

H. R. Wellman
Director
Giannini Foundation

August 6, 1943

Dr. H. R. Wellman
Giannini Hall

Dear Harry:

The attached letter from President Sproul outlines the budget of the Evacuation and Resettlement Study for the fiscal year 1943-44. As you can see, this budget leaves the Study with no contingent fund whatsoever and, consequently, we must exercise the greatest care during the coming year if the Study is to continue on its present level.

In your memorandum to me of July 6, you outlined the allotments to the Study from the Giannini Foundation, and asked me to let you know if I thought adjustments might be necessary with regard to the items for (1) general assistance, and (2) expense and equipment. I would most appreciate it if you would add \$454.00 to the general assistance allotment (making it total \$854.00) and correspondingly subtract \$454.00 from the expense and equipment fund (making it stand at \$200.00). The Giannini Foundation Grant to the Study would thus be:

General Assistance	\$854.00
Expense and Equipment	200.00
Stenographic and	
Statistical Service	<u>996.00</u>
Total	<u>\$2,050.00</u>

I will charge no traveling expenses to the expense and equipment fund. Rather it will be devoted entirely to the items you enumerated in your July 6 memorandum, "the prorata share of our telephone bill, the amount of postage which we furnish you and the amount of supplies which we use in service work for you." I realize this cuts the expense and equipment fund from the Giannini Foundation very low, but our problem essentially is to provide ourselves with sufficient typing assistance in Berkeley. If we exceed this \$200.00 allotment, I will make some provision from other funds to cover any deficit.

The President's letter also will make it necessary for us to use to the very fullest extent the \$996.00 item for stenographic and statistical services from the Giannini pool.

Thank you again for your interest and cooperation.

Very sincerely yours,

Dorothy Swaine Thomas

Enclosure

UNIVERSITY OF CALIFORNIA
COLLEGE OF AGRICULTURE
AGRICULTURAL EXPERIMENT STATION

THE GIANNINI FOUNDATION OF
AGRICULTURAL ECONOMICS
BERKELEY 4, CALIFORNIA

January 12, 1950

Via Air Mail

PERSONAL

Dr. Dorothy S. Thomas
Department of Sociology
University of Pennsylvania
Philadelphia, Pennsylvania

Dear Dorothy:

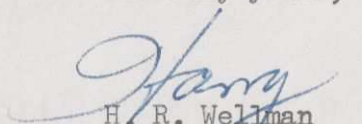
I was indeed happy to receive the copy of the article appearing in the current Trustees' Bulletin on the results of your study. It must be a great cause of satisfaction to you to know that your research bore fruit in correcting grave injustices to American citizens. Naturally I am extremely pleased that this work was done at the Giannini Foundation.

I assume that President Sproul will see the article in the Trustees' Bulletin. If not, I would like to have your permission to send him a copy. Also, I would like to have Dean Hutchison see the copy you sent me.

We shall be glad to type such tables and prepare such charts as you may wish to send us. Mrs. Eardley is no longer here, but we have a good draftsman. Please send the tables and charts directly to me, and I will see that they are prepared.

With best personal regards, I am,

Sincerely yours,


H. R. Wellman
Director
Giannini Foundation

HRW:h

UNIVERSITY OF CALIFORNIA
COLLEGE OF AGRICULTURE
AGRICULTURAL EXPERIMENT STATION

THE GIANNINI FOUNDATION OF
AGRICULTURAL ECONOMICS
BERKELEY 4, CALIFORNIA

September 11, 1950

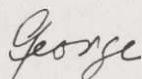
Professor Dorothy Thomas
Department of Sociology
University of Pennsylvania
Philadelphia, Pa.

Dear Dorothy:

Enclosed are copies of a letter from Grodzins and of my reply to him. Do you approve? The qualification "readily reproduced" with reference to the tables which might be sent to Grodzins is probably a sufficient safeguard. At any rate, I shall not provide him with any information until I hear from you.

With best regards.

Sincerely,



George M. Kuznets

GMK:j

Enclosures.

C O P Y

The University of Chicago
Chicago 37, Illinois

Department of Political Science

September 7, 1950

Mr. George Kuznets
University of California
Berkeley, California

Dear George:

After spending a good part of the summer in the hospital and recuperating therefrom, I am taking up some long-delayed research plans. My project is one on which I have been cogitating since 1944 and concerns, broadly, the psychological and sociological factors that encourage, or impede, loyalty to nation.

On pp. 105-6 of Spoilage Dorothy schematizes some very interesting sociological factors that were related to "disloyalty" declarations at Tule Lake. She remarks in a footnote that these data will be later presented in a monograph by you.

The type of statistical analysis apparently done by you interests me very much. If financing which has been informally assured comes through, I will attempt to isolate the sociological factors related to certain acts of "disloyalty" not only among Japanese Americans but also among C.O.'s and certain types of army deserters. (I have the statistical collaboration of Evelyn Rose Kitagawa and others in our Department of Sociology.) These will form part of a more general study which will also contain a series of case histories of "disloyal" persons.

Is the plan to publish your "Ecology of Disloyalty" still a live one? If so, I would appreciate knowing how soon it will be published. If not, I wonder if there might be available the more detailed account from which Dorothy's very suggestive paragraph was derived. If you could send me any manuscript or tables so that we could see some of the calculations, that would be wonderful. If this is not possible and if the data are available (and if the financing comes through!), either Evelyn or I might come out to Berkeley to work with them. We would, of course, also be very grateful for your methodological advice. (Incidentally, I cannot tell what Dorothy means by "'net' relationships" at the bottom of p. 105; could you explain it in your reply to me?)

I would appreciate hearing from you at an early date; I will be going to Washington very shortly, and what you tell me about the state of the data and your own plans might affect my work there. I send you my best personal regards.

Sincerely yours,

/s/ Morton

Morton M. Grodzins
Associate Professor of Political Science

MMG:gs