

July 2, 1942

Mr. Charles deY. Elkus
Bacigalupi, Elkus, and Salinger
Bank of America Building
300 Pine Street
San Francisco, California

Dear Mr. Elkus:

I am enclosing copies of the proposal and budgetary statements which we are submitting to the Columbia Foundation. These are, of course, confidential. I am also enclosing a memorandum on the economic and political segments of the study. This memorandum is not confidential. We are not financially able to undertake to investigate the economic segment of the study at this time. It is this segment, therefore, that I believe the Commonwealth Club should consider for study. Professor Frank Kidner, Director of the Bureau of Business and Economic Research in the University, is the member of our staff who is directly concerned with this segment. He has prepared a budget of from \$5,000 to \$6,000 annually, for a period of three years, to cover this portion of the study. I suggest that you ask Mr. Kidner to call on you and discuss the matter in full detail. Thank you for your friendly interest.

Very sincerely yours,

Dorothy Swaine Thomas
Professor of Rural Sociology

DST:wk
Enc.

D R A F T

July 3, 1942

Dear Mrs. Elkus:

I am informed that Dr. Dorothy Thomas of the Giannini Foundation of the University of California has discussed with you a research proposal under the title "Study of the Evacuation and Resettlement of Japanese on the West Coast." The Rockefeller Foundation has agreed to finance a portion of this study in the amount of \$7,500.00 for a period of one year. The Giannini Foundation is contributing \$3,250.00 for a like period and the Social Science Research Council of New York has awarded a predoctoral training fellowship in the amount of \$1,800.00 to pay the salary of one of the staff members.

I am asking Mr. Willits to extend the Rockefeller Foundation's grant for a period of three years. I am also pledging the use of University funds (including those of the Giannini Foundation) to the amount of \$5,000. annually for a three year period. On behalf of Dr. Thomas and her colleagues (brief biographies of whom are appended) I therefore wish to make application to the Columbia Foundation for a grant of \$10,000 annually for a three year period.

Attached hereto is a memorandum indicating in some detail the problems to be studied, and a budgetary statement showing the allocation of the funds on hand as well as of the anticipated income. In addition to the formal statement of the proposal, I am inclosing a memorandum on the progress of the study to date. I believe that this memorandum indicates clearly two things: First, that the exploratory stage of the study may be regarded as completed; second, that provision should now be made for a continuous study because it is to be anticipated that the more important aspects of the results of the evacuation will appear only after the lapse of a considerable period of time.

There is little that I can add to the statements contained in the supporting documents to indicate the importance of taking advantage of a most unusual opportunity for study of problems of far reaching significance. I most earnestly trust that your Board will look upon this proposal with favor.

Yours sincerely,

Robert G. Sproul
President

C O P Y

COLUMBIA FOUNDATION
Russ Building
San Francisco

Marjorie Elkus
Executive Director

August 5, 1942

Dr. Robert Gordon Sproul, President,
University of California,
Berkeley, California.

My dear Dr. Sproul:

The Board of Directors of the Columbia Foundation has considered your request for the sum of \$30,000.00 covering a three year period for the purpose of assisting in a research project to be directed by Dr. Dorothy Thomas entitled "Study of the Evacuation and Resettlement of Japanese on the West Coast".

In approving this grant it is understood that supporting funds of approximately \$14,188.90 annually or \$42,566.70 the total estimated cost of the study will be secured also for a three year period.

It is to be further understood that approximately one month in advance of the date on which each of the succeeding installments is due following the original allocation of funds, a statement of the financial status of the project be submitted this office and providing funds from other sponsoring agencies has been assured for the ensuing year, distribution of installment due at that time will then be issued by this office.

With regards the question of reporting on projects supported by this Foundation, the policy is generally that both progress and terminal reports are required, however, in this case the ruling in this connection is being waived in view of the agreement entered into by Dr. Thomas and certain governmental officials cooperating in the study. We understand this agreement was to the effect that no reports are to be issued during the progress of the study with the further likelihood of its final report being held until the cessation of the war. Recognizing the importance and practicability of this agreement we are happy to cooperate, however would be interested in hearing from Dr. Thomas from time to time on the general progress of the work.

Assuring you of our deep interest in the study and awaiting your reply, I remain,

Sincerely yours,

(Signed) Willard L. Ellis

Willard L. Ellis, Vice President
Columbia Foundation

C O P Y

September 5, 1942

Dear Mrs. Elkus,

I believe I can clear up the misunderstanding about the budget. I am enclosing a copy of the budget we submitted to you, in which I have broken down the item "Allocated from funds on hand". Column 1 shows the disposition of the Rockefeller grant. The prospects for the future will, I believe, be clarified by three enclosures (1) letter of Mr. Willits to President Sproul, (2) letter of Mr. Willits to me, and (3) my reply to Mr. Willits.

Column (2) of the budget refers to a fellowship granted by the Social Science Research Council to Mr. Frank Miyamoto, formerly instructor in sociology at the University of Washington. This fellowship was granted on the condition that I would sponsor Mr. Miyamoto's study and include it in my project. Fellowships of this sort are, however, awarded to individuals on a competitive basis, and no guarantee can be obtained that the Council will make further awards to work on our project as such. The enclosed letter (4) from Miss Barrett, however, indicates that there is a reasonable chance that Miyamoto's fellowship will be renewed for another year. It was, I believe, a mistake on our part to include this fellowship in the budget at all.

Column (3) plus Column (6), totalling \$4888.90 represent approximately the amount (\$5000.00) which President Sproul is guaranteeing from University or other sources available to him. Column (6) shows the amount we have requested from the Institute of Social Sciences of the University. Whether or not the Institute grants this portion of the \$5000.00 guaranteed by the President is immaterial, since the President has guaranteed the total. That is to say, if the Institute turns us down or gives us only part of what we asked for (either of these is a real possibility since their total budget amounts to less than \$4000.00), the President will make up the difference. The same is true with regard to Column (3). If for any reason, Giannini should default (which is improbable to the highest degree) the President has agreed to provide the equivalent of these funds from resources at his disposal.

My sizing up of the whole situation at present is as follows: I estimate the total cost of the study at approximately \$24,000.00 for the present year. I shall make every effort to obtain a similar amount for the next two years. In view of the President's commitment and the favorable attitude of the Rockefeller Foundation, I am reasonably certain of obtaining \$12,500.00 from these sources. This plus the Columbia grant of \$10,000.00 would yield an income of \$22,500.00 for the next two fiscal years. I should like to have some leeway in regard to the amount now provided by the Social Science Research Council (column 2) because of the conditions under which their grants are made. I shall, of-course, make every effort to obtain this small additional amount, but if it should not be made available, I assure you that I shall see to it that the standards of the study are not in any way lowered.

Thus, I count on a total of \$12,500.00 from the University and the Rockefeller Foundation (or other sources) to supplement the grant of \$10,000.00 from the Columbia Foundation for a period of three years. For the first of these years, I have at hand an additional small grant for one of my workers from the Social Science Research Council. I shall endeavor to obtain a continuance of this, but feel that it is not essential and therefore request that it not be made a condition of the Columbia award. Please note (letter 1) that the Rockefeller proposal for continuance does not require any guarantee about this fellowship.

I am deeply appreciative of your efforts in our behalf, of your cordial response to the study, and of the promptness with which the Columbia Foundation has acted. I should hate to appear to be pressing you at this point. I must confess, however, that our financial condition is, at present, decidedly in the red. If, therefore, the foregoing explanation is satisfactory to you, we should be tremendously relieved to hear that everything is all right and to know that the Columbia grant will be forthcoming for the present year.

With cordial regards,

Sincerely yours,

Dorothy Swaine Thomas

C
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P
Y

COLUMBIA FOUNDATION
Russ Building
San Francisco

Marjorie elkus
Executive Director

September 9, 1942

Dr. Robert Gordon Sproul, President
University of California,
Berkeley, California

My dear Dr. Sproul:

I have received Dr. Thomas' letter of September 5, clarifying the questions we held in connection with the budget of the Study of the Evaluation and Resettlement of Japanese on the West Coast.

With the assurance given us by Dr. Thomas that every effort will be made to maintain the standards of the study as presently planned thruout the period of the study we are happy to enclose our check for the sum of \$10,000.00, the first of three installments we have agreed to contribute toward the study.

Assuring you of our sincere interest in the splendid purposes of the project, I remain,

Very truly yours,

Willard L. Ellis, Vice President
Columbia Foundation

December 21, 1942

Mrs. Marjorie Elkus
Columbia Foundation
Russ Building
San Francisco, California

Dear Mrs. Elkus:

I have committed a grave discourtesy in not writing to you earlier. I hope you will forgive me. There are some extenuating circumstances, in that I had to make a series of rushed and hectic trips to Relocation Centers (including one to Poston, where I arrived in the very beginning of the "riot") and that I have had a new brand of flu, imported from Hawaii, and not to be recommended. Nevertheless, my conscience will not let me off so easily and I feel very badly about my neglect, to give you, in writing, some evidence of the appreciation which I expressed verbally.

I am, indeed, deeply grateful for the role the Columbia Foundation played in straightening out the complications with which the Evacuation and Resettlement Study was beset. By defining the minimum conditions under which a study of this sort could be carried out satisfactorily and forcing us to meet these conditions prior to giving the grant, you are making it possible for me to devote myself primarily to the research rather than to becoming an uneasy "promotor", preoccupied with problems of more adequate financing, or, worse still, with the very fact of continuation of the study. Under the very best conditions, of course, a study of this sort at this time meets a never-ending series of frustrations, in regard to cooperation of the agencies on whom we are dependent, in regard to finding and keeping our own personnel, in regard to "unexpected" situations of various sorts. The research director necessarily spends a great deal of time--far too much time--administering and manipulating. If I had also to worry about budget planning, and about refinancing, my proper function in the study--directing and coordinating the research--would be hopelessly hampered. Thanks to the way in which you examined our needs realistically and advised us how those needs could be met, I am able to function much more efficiently than would otherwise have been possible.

I appreciate, too, the fact that the Columbia Foundation, recognizing the confidential nature of much of the material we are collecting, has released us from the necessity of making detailed progress reports. So many significant and interesting things are turning up, however, that I would welcome a visit from you in which I could give you an "off the record" idea of what we are doing and what we are discovering. Won't you have lunch with me at the Faculty Club, at your convenience, and then come back to the office and see what we have?

With cordial regards and further apologies,

Sincerely yours,

Dorothy Swaine Thomas
Professor of Rural Sociology

DST:vp

September 11, 1943

Mrs. Charles deY. Elkus, Jr.
Columbia Foundation
Russ Building
San Francisco, California

Dear Mrs. Elkus:

Thank you very much for your letter of August 31, carrying the good news that the Columbia Foundation had approved a grant of \$10,000 for the continuation of the Study of Evacuation and Resettlement of Japanese on the West Coast, under the direction of Dr. Dorothy S. Thomas. We are deeply grateful for your continuing interest in this important project.

The check has been received and will be reported to the Regents in due course.

Yours sincerely,

Robert G. Sproul

GL
cc Dr. Thomas ✓
Mr. Lundberg
Mr. Tippet with check

Copy Professor Thomas

September 28, 1943

Columbia Foundation
Russ Building
San Francisco, Calif.

Gentlemen:

Your gift of \$10,000 for the continuation of the Study of Evacuation and Resettlement of Japanese on the West Coast, under the direction of Dr. Dorothy S. Thomas was reported to the Regents of the University at their meeting held on September 24 by President Sproul. The Regents have directed that I convey to you their deep appreciation of your cooperation in support of the University and its various activities.

Sincerely,

BM

ROBERT M. UNDERHILL

Memorandum Submitted to Mrs. Eches
By D. S. Thomas

~~October~~
November 2, 1944

Item I:

This will explain why in July, 1943, more than \$2,500.00 was reappropriated to the study for the '43-'44 fiscal year and why only ~~\$250.00~~ ^{\$287.00} was reappropriated in July 1944, for the '44-'45 fiscal year.

1. All the grants of the study except the Columbia foundation grant end on June 30. The Columbia Foundation grant ends on ~~September 30~~ ^{August 31}. The University's fiscal year ends on June 30. Therefore, in the normal course of events, \$1,666.66 would be reappropriated annually, (this sum being only a bookkeeping item to keep our funds current with the University's) covering expenditures from the Columbia Foundation for July and August.

2. During 1942-3, the piecemeal manner in which funds became available made it impossible to plan an overall program. The first months of the year were characterized by very light expenditures, the latter months by very heavy ones. Instead of having a surplus of \$1,666.66 on July 1, 1943, the study had approximately \$2,800. This sum was reappropriated and was completely expended in July and August, 1943.

3. During 1943-4 month-by-month expenditures continued to be heavy for reasons set forth in DST's letter to President Sproul of June 22, 1944. As a result to these expenditures, July 1, 1944, found the study with approximately \$300 for reappropriation, rather than the \$1666.66 that would normally be available.

ITEM #2

This is an explanation of \$26,372.67 which the Study spent in 1943-4.

1. The normal income for the study for 1943-4 was:

a. Columbia Foundation	\$10,000.00
b. Rockefeller	7,500.00
c. University	<u>6,360.00</u>
Total normal income	\$23,860.00

2. To this sum must be added the

reappropriation from 1942-3, approximately

2,800.00

3. 1943-44, TOTAL FUNDS AVIALABLE \$26,660.00

4. Subtracting the 43-44 expenditures 26,372.67

5. The difference \$ 287.33

is approximately the sum reappropriated for 1944-5.

COLUMBIA FOUNDATION
RUSS BUILDING
SAN FRANCISCO

MARJORIE ELKUS
EXECUTIVE DIRECTOR

November 6, 1944

Dr. Dorothy Thomas
Evacuation and Resettlement Study
207 Giannini Hall
Berkeley 4, California

Dear Dr. Thomas:

I am pleased to advise you that the Board of Directors of the Columbia Foundation has approved your request for funds amounting to \$10,000.00, which represent the third and final installment of a three year grant awarded for the research project in which you are engaged concerning Japanese evacuation and resettlement.

Our check for this amount will be forwarded the University shortly, and we shall look forward to receiving your report and a final accounting of unexpended funds at the end of a twelve month period.

Very truly yours,

Marjorie Elkus
(Mrs.) Charles deY. Elkus, Jr.
Executive Director

ME:ma

November 28, 1944

Dr. Dorothy S. Thomas
338 Giannini Hall
Campus

Dear Doctor Thomas:

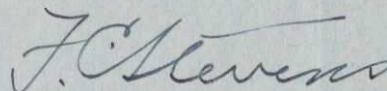
The Columbia Foundation has just sent to President Sproul a check for \$10,000, the receipt of which will be reported to the Regents as follows:

The receipt of a check for \$10,000 from the Columbia Foundation (Mrs. Charles deY. Elkus, Jr., Executive Director, Russ Building, San Francisco), representing the third and final installment for the Study of Evacuation and Resettlement of Japanese on the West Coast, under the direction of Dr. Dorothy S. Thomas.

In transmitting the check, Mrs. Elkus writes:

"At the end of the year we are expecting a final report from Dr. Thomas, which we are looking forward to with interest."

Yours sincerely,



Executive Secretary

FCS:cw

cc:Miss Smith

Mr. Lundberg

Mr. Tippet (with check)

October 6, 1945

862/3459

Mrs. Charles DeY. Elkus, Jr.
Executive Director, Columbia Foundation
Russ Building
San Francisco, California

Dear Mrs. Elkus:

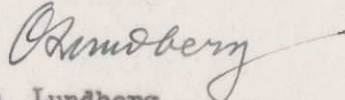
Re: Financial Statement--Evacuation
and Resettlement Study

Incident to the expiration as of August 31, 1945, of your generous grant toward the above study, we have prepared a summary statement reflecting the financial operations of the project, since its inception. I am taking the liberty of attaching hereto a copy of this summary for your information and review. You will note that the Columbia Foundation grant has been fully expended, as is the original three-year contribution from the Rockefeller Foundation. The University's part in financing the project, originally set at \$15,000.00, has been exceeded in the sum of \$3,850.76.

You will be interested in learning that the University has been successful in securing additional funds for the continuation and completion of the study in the amount of \$10,000.00 from the Rockefeller Foundation, which will be matched by an equal amount of University funds. A pledge has been received, also, from the Rockefeller Foundation, for \$6,250.00 toward the cost of publishing the results of this study, and an equal amount will be provided by the University of California Press.

If there are any particular financial details on which you should desire further information, please do not hesitate to call upon us.

Yours very truly,


O. Lundberg
Chief Accounting Officer

Enclosure

✓cc: Dr. Dorothy S. Thomas

UNIVERSITY OF CALIFORNIA

EVACUATION AND RESETTLEMENT STUDY

SUMMARY OF PLEDGES, RECEIPTS, AND EXPENDITURES
FROM INCEPTION TO AUGUST 31, 1945

	<u>TOTAL</u>	<u>COLUMBIA FOUNDATION</u>	<u>GRANTS ROCKEFELLER FOUNDATION</u>	<u>UNIVERSITY FUNDS</u>
<u>PLEDGED:</u>				
1942-43	\$22,500.00	\$10,000.00	\$ 7,500.00	\$ 5,000.00
1943-44	22,500.00	10,000.00	7,500.00	5,000.00
1944-45	<u>22,500.00</u>	<u>10,000.00</u>	<u>7,500.00</u>	<u>5,000.00</u>
TOTAL	<u>\$67,500.00</u>	<u>\$30,000.00</u>	<u>\$22,500.00</u>	<u>\$15,000.00</u>
<u>RECEIPTS:</u>				
1942-43	\$22,500.00	\$10,000.00	\$ 7,500.00	\$ 5,000.00
1943-44	22,500.00	10,000.00	7,500.00	5,000.00
1944-45	<u>22,500.00</u>	<u>10,000.00</u>	<u>7,500.00</u>	<u>5,000.00</u>
TOTAL	<u>\$67,500.00</u>	<u>\$30,000.00</u>	<u>\$22,500.00</u>	<u>\$15,000.00</u>
<u>EXPENDITURES:</u>				
1941-42	\$ 698.74	\$	\$	\$ 698.74
1942-43	19,441.82	7,220.26	7,271.21	4,950.35
1943-44	26,518.98	11,930.19	7,728.79	6,860.00
1944-45	21,856.72	8,015.05	7,500.00	6,341.67
1945-46(7/1 -8/31/45)	<u>2,834.50</u>	<u>2,834.50</u>	<u>.....</u>	<u>.....</u>
TOTAL	<u>\$71,350.76</u>	<u>\$30,000.00</u>	<u>\$22,500.00</u>	<u>\$18,850.76</u>
Amount expended in excess of pledge \$ 3,152.02		\$ 3,152.02
<u>ADD:</u>				
Amount expended prior to beginning of grants	<u>698.74</u>	<u>698.74</u>
TOTAL	<u>\$ 3,850.76</u>			<u>\$ 3,850.76</u>

EVACUATION AND RESETTLEMENT STUDY

SUMMARY OF EXPENDITURES

FROM INCEPTION TO AUGUST 31, 1945

	<u>TOTAL</u>	<u>SALARIES</u>	<u>EXPENSE AND SUPPLIES</u>	<u>EQUIPMENT</u>
<u>ROCKEFELLER FOUNDATION:</u>				
1942-43	\$ 7,271.21	\$ 6,319.36	\$ 945.85	\$ 6.00
1943-44	7,728.79	6,639.35	997.82	91.62
1944-45	<u>7,500.00</u>	<u>7,051.43</u>	<u>448.57</u>	<u>.....</u>
TOTAL	<u>\$22,500.00</u>	<u>\$20,010.14</u>	<u>\$2,392.24</u>	<u>\$ 97.62</u>
 <u>COLUMBIA FOUNDATION:</u>				
1942-43	\$ 7,220.26	\$ 5,104.09	\$1,439.39	\$ 676.78
1943-44	11,930.19	11,442.56	487.63
1944-45	8,015.05	7,908.92	96.13	10.00
1945-46(7/1 - 8/31/45)	<u>2,834.50</u>	<u>2,674.75</u>	<u>159.75</u>	<u>.....</u>
TOTAL	<u>\$30,000.00</u>	<u>\$27,130.32</u>	<u>\$2,182.90</u>	<u>\$ 686.78</u>
 <u>UNIVERSITY FUNDS:</u>				
1941-42	\$ 698.74	\$ 506.38	\$ 192.36	\$
1942-43	4,950.35	4,465.66	484.69
1943-44	6,860.00	3,075.41	3,080.14	704.45
1944-45	<u>6,341.67</u>	<u>3,615.68</u>	<u>2,717.27</u>	<u>8.72</u>
TOTAL	<u>\$18,850.76</u>	<u>\$11,663.13</u>	<u>\$6,474.46</u>	<u>\$713.17</u>

COLUMBIA FOUNDATION
RUSS BUILDING
SAN FRANCISCO 4

MARJORIE ELKUS
EXECUTIVE DIRECTOR

September 19, 1949

Dr. Dorothy Thomas
4104 Locust
Philadelphia, Pennsylvania

Dear Dorothy:

I have been planning to write you ever since I learned that Mr. Grodzins had published his book, Americans Betrayed, and the only reason I have not is because until now I have been "stopped cold" by what I consider to be a most unethical and unprofessional brand of academic privilege.

I have talked with Dr. Sproul and a number of others competent to pass opinions on the situation and find I am not alone in my indignation. I am particularly disturbed over the fact that Mr. Grodzins did not see fit to advise this office of his intentions even though I have been given to understand he observed this courtesy in communicating with the Rockefeller Foundation and the University of California. In view of the fact Columbia appears to have been the heaviest contributor in terms of cash to the project, this type of conduct becomes especially offensive; however, there is nothing we can or would attempt to do in the matter.

Our chief concern now involves the question of future policy in the awarding of grants for research, which include plans for publication. I would therefore be interested in any comments or suggestions you might care to offer in the way of providing protective devices by which we would not again find ourselves in a similar situation. Also, I would like to know, and this merely as a matter of personal information, what plans have been made for the final disposition of the material collected for the Evacuation and Resettlement Study once the third volume, The Residue, has been completed. Do these data revert to the University of California or what exactly does happen? Then, too, perhaps you would be willing to clarify what seems to me to be a rather questionable spot for you to be in considering the fact that you were the Director of the project. Mr. Grodzins notes in his Preface - "Principal research for this work was carried on while I was a member of the Evacuation and Resettlement Study at the University of California" - and then later he pays tribute to you and Professor Charles Aiken "as a source of invaluable advice and criticism". The question in my mind is this - can there be a justification for a person employed full time on one assignment, as I understand Mr. Grodzins was on your staff, compiling material for his own use during that same period?

This is all very disturbing and distinctly distasteful to me and I am sure it also must be to you. It would help my shocked spirits a bit to have a line from you, which as you must know I shall regard confidentially.

I am sorry we did not have a chance to get together before you left for the East as I did so enjoy our few contacts together.

With every good wish and sincere greetings, I remain -

Very truly yours,

Mayne
(Mrs.) Charles deY. Elkus, Jr.
Executive Director.

E:ah

COLUMBIA FOUNDATION
RUSS BUILDING
SAN FRANCISCO 4

MARJORIE ELKUS
EXECUTIVE DIRECTOR

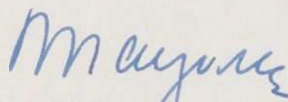
October 13, 1949

Dr. Dorothy Thomas
Department of Sociology
UNIVERSITY OF PENNSYLVANIA
Philadelphia, Pennsylvania

Dear Dorothy:

On September 19th I wrote you and mailed the letter to a Locust Street address. I have not heard from you and feel certain the letter has not reached you. Enclosed is a copy, and I would appreciate a reply.

Cordially yours,



Marjorie Elkus
Executive Director.

E:ah
Enc.