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National Endowment for the Humanities

1979-1980

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June 22, 1979

Research Materials
Division of REsearch Programs
National Endowment for the Humanities
806 15th St., N.W.
Washington, D.C. 20506

Gentlemen:

I would like to ask your guidance as to the appropriate agency to which I might apply for funds to undertake and complete a task which would make a unique body of material available to historians and social scientists.

I enclose (1) a preliminary proposal (2) a curriculum vitae, (3) a xeroxed copy of a selection of my fieldnotes recorded at Tule Lake Segregation Center, 1944-45.

I thank you for your time and would appreciate suggestions.

Sincerely yours,

Rosalie H. Wax
Professor of Anthropology

RHW:sc

September 11, 1979

Tom T. Sasaki
Professor of Anthropology
University of Notre Dame
Notre Dame, IN 46556

Dear Tom:

I am in the midst of preparing a proposal to be submitted to the National Endowment for the Humanities in order to provide me with financial support, beginning Fall 1980, in order to devote myself to editing and annotating my fieldnotes from the 15 months I spent at the Tule Lake Segregation Center. Would you be willing to serve as one of the evaluators of this project for NEW. Unless I hear from you to the contrary, I will within the next few weeks be sending you a copy of the proposal and of the evaluation materials. If you would prefer that I recruit someone else for this responsibility, I would welcome your nominations.

My thanks and my best wishes,

Rosalie H. Wax
Professor of Anthropology

/sg

219-283-6463.

Tom T. Savelle

SOCIOLOGY DEPARTMENT

DATE _____

FROM: _____

TO: _____

FOR

☐ FILE

☐ ACTION

☐ NOTE & RETURN

☐ INFORMATION

☐ AS REQUESTED

☐ PASS ALONG

☐ PER CONVERSATION

NOTE:



University of Pittsburgh

SCHOOL OF EDUCATION
Division of Educational Studies
Program in International and Development Education (IDEP)

September 14, 1979

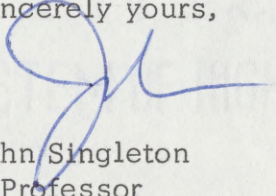
Professor Rosalie Wax
Department of Sociology
Box 1113
Washington University
St. Louis, Missouri 63130

Dear Rosalie:

I'd be delighted to serve as an evaluator of your proposal for the NEH. What better way to find out what you are planning to do with your notes from Tule Lake?

With best wishes.

Sincerely yours,


John Singleton
Professor

JS:cej

May 22, 1980

Joseph D. Duffey, Chairman
National Endowment for the Humanities
Washington, D.C. 20506

Ref: RE-00018

Dear Sir:

I too regret that the National Endowment for the Humanities was not able to fund my application for a grant to edit my notes on the Tule Lake Segregation Center. I would like, however, to continue to try to obtain funding and I would be grateful if you would send me anonymous copies of the statements made by reviewers. The suggestions and criticisms they contain will enable me to prepare a more acceptable grant -- either to you or to other funding agencies.

Yours sincerely,

Rosalie H. Wax
Professor of Anthropology

RHW:sc

NATIONAL ENDOWMENT FOR THE HUMANITIES

WASHINGTON, D.C. 20506

June 18, 1980



Professor Rosalie H. Wax
Washington University
Campus Box 1114
St. Louis, Missouri 63130

Dear Professor Wax:

I write in regard to your letter requesting an account of the issues and questions raised during the evaluation of your application to the Research Materials Program. I hope you will forgive the impersonal form of this response which has been adopted in the interest of conveying this information to you as expeditiously as possible.

The enclosed memorandum was prepared by the member of the program's staff responsible for your proposal and is intended to reflect fully the evaluation your application received: the comments by a number of specialist reviewers in the field who were sent the application; the subsequent discussion by a panel of scholars chosen for their specific experience in preparing research tools, editions, and translations (and, where relevant, by a panel of scholars versed in the application of computer technology in the humanities); and, finally, the recommendations of the National Council on the Humanities itself.

At every stage of this process, particular attention was paid to three principal criteria for funding in this program: the contribution that the completed reference work would make to advanced research in the humanities and as a cultural document, the plan of work defined in the application, and the qualifications of the project's staff for the kinds of work the project would entail (and in the case of a translation project, the quality of the sample translation and annotation).

I trust these materials will prove helpful in understanding the basis for the Endowment's decision. Please let us know if the staff can be of further service to you.

Sincerely yours,

George F. Farr, Jr.

George F. Farr, Jr. (CPT)
Assistant Director
Division of Research Programs
(for Research Materials)

NEH — APPLICATION COVER SHEET

Form OMB-128-R-0071

1. Individual Applicant/Principal Project Director

a. Name and Mailing Address

WAX Rosalie H.
(last, first, initial)

Social Science Institute
Washington University

St. Louis MO 63130
(city) (state) (zip)

Professor of Anthropology
title/position

f. Telephone

(314) 889 - 6650 ext.

g. Citizenship

1. ☒ USA 2. ☐ Other Specify:

b. Date of Birth

11 / 4 / 11

mo day year

c. Major Field of Study

Cultural
Anthropology

d. Highest Degree Attained

Ph.D. 12 / 50

mo year

e. Education

Ph.D., U. of
Chicago

(For NEH use ONLY)

Date Received

/ /

Application #

Initials

2. Type of Application

1. ☒ New 2. ☐ Revision
*3. ☐ Renewal *4. ☐ Supplement

*If 3 or 4 (above) enter previous grant #

3. Program To Which Application Is Being Made

Existing Program, Division of Research Grants

5. Requested Period

Total
Months

9 / 1 / 80 5 / 31 / 81 = 9
From: mo day yr To: mo day yr

6. Audiences (Direct Beneficiaries)

- a. Japanese - Americans
b. American historians
c. Policy-makers (Congress)

7. Requested Amount

Outright

\$ 48,939

Gift & Match

\$ -

NEH Total

\$ 48,939

Cost Sharing &
Other Contributions

\$ 12,235

Total Project

\$ 61,174

4. Type of Applicant

1. ☐ Individual

*2. ☒ Institution/Organization

Congressional
District

First

* If (2) above (inst./org.) enter -

Type: Educational

Status: Private Non-Profit

8. Field of Project

Minority Studies

9. Location Where Project Will Be Completed

Washington University, St. Louis,
Missouri

10. Public Issues Of Project

Effects on Japanese - American
cons. of their internment during WWII, including
significance of such actions as reeducation of
U.S. citizenship by part of population

11. Topic (Title) of Project

Loyalties and Terrorism among the "Disloyal": Full Lake Segre-
gation Center, 1943-1945

12. Description of Proposed Project (Do not exceed space provided)

13a. Have you submitted, or do you plan to submit a similar application
to another NEH Program? If yes, provide name(s):[year(s) when
applicable] No

13b. Have you submitted, or do you plan to submit a similar application
to another government or private entity? If yes, provide name(s):
[year(s) when applicable]

I plan to submit to National
Science Foundation

IMPORTANT — READ INSTRUCTIONS CAREFULLY BEFORE COMPLETING BLOCKS 14 & 15

14. Authorizing Official (name & mailing address)

H. S. Leakey
Grant & Contract Administrator
Washington University
St. Louis, MO 63130

15. Institution/Organization (name & mailing address)

Social Science Institute
Washington University
Lindell & Shenker Blvds.
St. Louis, MO 63130

Certification: I certify the statements herein are true and correct to
the best of my knowledge and belief:

Sig. _____
authorizing official/applicant

Date / /
mo day yr

Type Ins./Org.: Educational, Private Non-Profit

PLEASE READ the instructions for each question carefully and answer by printing your reply or checking the appropriate box. Please verify your answers to be certain that they are correct and complete. Examples are provided for clarification and should not be considered limiting.

Block 1 - Individual Applicant/Principal Project Director

Item a - If application is that of an **individual applicant**, give the name and mailing address of the person applying. Else, if application is on behalf of an **Institution/Organization** enter the name and mailing address of the person who will carry out the project or be chiefly responsible for directing it. The name and address of the **Institution/Organization** should be given in BLOCK 15.

Items b through g are self-explanatory. These answers apply to the person whose name will be given in ITEM a.

Block 2 - Type of Application

Check (☒) appropriate block.

— **New** - applications submitted for the first time.

— **Revisions** - applications previously submitted, if such has been rewritten or restructured for reconsideration.

— **Renewal** - submission for continuation of an on-going or previously funded project..

— **Supplement** - extension of a current or on-going project.

NOTE: If application is for a **renewal** or **supplement** enter current/previous grant number.

Block 3 - Program to which application is being made:

Enter exact (complete) title of the NEH Program as shown in the Program Announcement.

Block 4 - Type of Applicant

Check (☒) appropriate block. If "1" is checked, enter the **congressional district** as it applies to the geographic area of the applicant's residence. If "2" is checked, enter the congressional district where the **Institution/Organization** applying is located. **Do not** give the congressional district of the project director.

NOTE: **Institution/Organization Applicants Only**

Identify **Type** such as: Business, Religious, Museum, Historical Society, Government (state, local, etc.) Public Media (tv, radio, newspaper, etc.) Educational (elementary/secondary, school district, 2 yr college, 4 yr college etc.) Library (public, research, etc.), Center (advance study, research, etc.) etc. etc. Identify **Status** as: Public, Private Non-Profit, etc.

E.g. **Type**: Historical Society. **Status**: Private Non-Profit.

Block 5 - Requested Period. Period should include planning stages (if applicable). Ending date should be the last day of the month. Include the total number of months (duration of project).

Block 6 - Audiences (Direct Beneficiaries) - List no more than three (3) audiences who will benefit as a result of project: e.g. Gen Public (adults, youth, etc.), Minorities (Women, Black Americans, etc.), Community (Urban, Rural, etc.).

Block 7 - Requested Amount. Enter amount next to each applicable line. Figures must be rounded to the nearest dollar.

Block 8 - Field of Project. From those fields listed in the NEH Act, or Program Announcement, select the most appropriate field which would apply to the project (History, Literature, Archeology, etc.).

Block 9 - Location Where Project Will Be Conducted. Answer in terms of geographic areas; (e.g. in U.S.A. enter city and state, in foreign countries enter only country)

Block 10 - Public Issues of Project (if applicable). Identify main issue(s) that will be addressed; e.g., Aging, Religions, Death, Population Growth (Changes), Urban Problems (Housing), etc.

Block 11 - Topic (title) of Project. Self Explanatory.

Block 12 - Description of Proposed Project. Provide a brief description of project. Do not exceed space provided.

Block 13 - Items a & b. If questions do not apply, write N/A. Make sure that year(s) are entered.

Block 14 - Authorizing Official. If applicant is an **Institution/Organization**, give name and mailing address of Authorizing Official. (Include zip code). Otherwise leave blank.

Block 15 - Institution/Organization. Important: If applicant is an **Institution/Organization**, complete this entry by giving name of institution/organization applying. For individual applicants, give Institution/Organization **Affiliation**. Make sure type of Institution/Organization is identified, following the examples provided in Block 4.

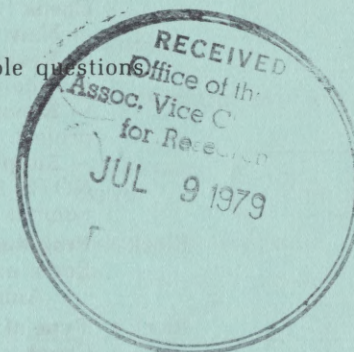
Certification. The original Application Cover Sheet must be signed and dated.

NATIONAL ENDOWMENT FOR THE HUMANITIES

Washington, D.C. 20506

APPLICATION COVER SHEET

NOTE: Please read instructions before completing applicable questions.
Please print or type.



PRIVACY ACT

The following notice is furnished in compliance with the Privacy Act of 1974:

This information is solicited under the authority of the National Foundation on the Arts and the Humanities Act of 1965, as amended, 20 U.S.C. 956. This information is needed to process the grant application and for statistical research and analysis of trends. The routine uses which may be made of this information are: General Administration of the Grant Review Process; Statistical Summaries; Congressional Oversight and Analysis of Trends. Failure to provide information requested would necessarily mean that the application could not be considered because of the absence of elements necessary for a determination.

RESEARCH MATERIALS PROGRAM: Program for Editions

SUMMARY OF EVALUATION

Log #	<u>RE-00018</u>	Council	<u>May 1980</u>
Dir.	<u>Rosalie H. Wax</u>	Prepared by	<u>Gail Halkias</u>
Title	<u>Loyalties and Terrorism at Tule Lake Segregation Center</u>	Date	<u>16 June 1980</u>

SIGNIFICANCE OF THE PROJECT

The reviewers and panelists who evaluated this project were unable to reach a consensus about its importance or priority. One reviewer noted enthusiastically:

Professor Wax's collection of fieldnotes on her observations of the Tule Lake concentration camp will be a major contribution to the field of Asian American historiography (and specifically, the works on the Japanese American evacuation), and should also be an important resource material for scholars interested in the topics of psychological stress, political loyalty, and traumatic experiences.

Other evaluators were somewhat less supportive:

There is little doubt that the applicant's fieldnotes would be an important contribution to the existing collection of materials on the concentration camps. (I am, however, not convinced of its absolute value from the samples provided in Appendix I which are sketchy and not entirely new. The complete fieldnotes, nonetheless, would no doubt be a valuable addition to the Bancroft collection. Refer to letter, Roberts to Wax, 7/12/79.)

In a limited sense, it is true that there is no single work which focusses on the area of mental health and the psychological stresses on the Japanese internees. However, in a wider sense, there are a number of fairly comprehensive works which deal with the impact of confinement. Like the University of California Evacuation and Resettlement Study in which the applicant participated, these publications viewed the camps as social laboratories in studying behavior, attitudes, and patterns of social adjustment and interaction. The most notable of these, apart from Thomas and Nishimoto's The Spoilage, is perhaps Alexander H. Leighton's, The Governing of Men. Others include Spicer, et.al., Impounded People, and Norman R. Jackman's unpublished Ph.D. dissertation, "Collective Protest in Relocation Centers" (University of California, 1955).

* * * *

Although the issues addressed in the field notes are important, they are not of broad and general interest. In addition, some analyses do exist in the Japanese Evacuation and Relocation Study papers at the Bancroft Library, University of California, Berkeley, so we are not entirely without access to research materials on this topic. For instance, S. Frank Miyamoto has authored several papers housed in the JERS papers, including: "A study of the career of Intergroup Tensions: The Collective Adjustments of Evacuees to Crises at the Tule Lake Relocation Center," 1950 [424 pp.]; and, "Tule Lake Report," Parts I and II [approx. 750 pp.].

* * * *

... if these notes are useful as a case study of terrorism, Dr. Wax should have taken more space in outlining her theory of terrorism and why her fieldnotes would provide relevant information.

DESIGN OF THE PROJECT

Many reviewers and panelists questioned the proposed dissemination and format for the project. They found, in addition, the information provided on the use of the computer to be inadequate.

I do ... have reservations of the proposed project design and format. My concern is twofold. First, above all, the integrity of the fieldnotes must be preserved. That is, the "editing" of the notes must not mar the historical document and thus, for purposes of historical research, there is no substitute for the original, unedited fieldnotes. The proposed tape-storage method, while having the advantage of wider use, contains the seeds of possible distortion of the historical record. Second, it is my opinion that such edited fieldnotes would have a limited use-demand and accordingly the usefulness of the proposed tape-storage method diminishes in advantage. Further, Wax's fieldnotes must be viewed within the context of the wider University of California Evacuation and Resettlement Study document collection for historical relevance and accuracy, and would probably be of greater utility if housed together with the other records of the UC study.

* * * *

The question for this reviewer ... is not whether the topic is interesting or important, but whether the presentation of the data is appropriate. In my estimation the Wax notes should not be produced in the form described in the application. First, if she made copies of her materials available to several major research libraries, particular the one at Berkeley, scholars would use them. There is no demonstrated need for a broader method of dissemination. Second, field notes--even those that are edited--are confusing and seldom merit publication. Third, and most important, if Wax thinks the topic deserves so much time and energy, she should write up an account based on the field notes. She could recapture the intimate connections within the camp that may not be apparent even to someone reading the raw notes.

* * * *

There is a question of preserving anonymity for persons who may not wish to have their identities revealed. Are these notes to be handled according to the procedure used at Bancroft (as noted in the letter)?

Rosalie H. Wax
RE-00018

Page - 3 -

* * * *

... the given sample of her fieldnotes suggests that she was engaged in a type of "investigative reporting" we see often in the newspaper these days. When she selected informants and when she wrote her reports, how did she try to corroborate the information she was getting? Will she in the forthcoming project try to provide the readers of her fieldnotes a set of guidelines she followed? I was disappointed in not finding such a statement in her proposal.

QUALIFICATIONS OF THE STAFF

There were no questions or concerns raised about Professor Wax's qualifications to undertake the project. Evaluators did, however, have reservations about the staff's experience in computer programming and the use of this technology.

NEH — APPLICATION COVER SHEET

Form OMB-128-R-0071

Individual Applicant/Principal Project Director a. Name and Mailing Address WAX Rosalie H. (last, first, initial) Social Science Institute Washington University St. Louis, MO 63130 (city) (state) (zip) Professor of Anthropology title/position		b. Date of Birth 11 / 4 / 11 mo day year	(For NEH use ONLY) Date Received / / Application # Initials	
f. Telephone (314) 889-6650 ext.		g. Citizenship 1. <input checked="" type="checkbox"/> USA 2. <input type="checkbox"/> Other Specify:	2. Type of Application 1. <input checked="" type="checkbox"/> New 2. <input type="checkbox"/> Revision *3. <input type="checkbox"/> Renewal *4. <input type="checkbox"/> Supplement *If 3 or 4 (above) enter previous grant #	
3. Program To Which Application Is Being Made Editing Program, Division of Research Grants		e. Education Ph.D., Univ. of Chicago		4. Type of Applicant 1. <input type="checkbox"/> Individual *2. <input checked="" type="checkbox"/> Institution/Organization Congressional District First * If (2) above (inst./org.) enter - Type: Educational Status: Private Non-Profit
5. Requested Period 9 / 1 / 80 5 / 31 / 81 = 9 From: mo day yr To: mo day yr		7. Requested Amount Outright \$ 48,939 Gift & Match \$ ----- NEH Total \$ 48,939 Cost Sharing & Other Contributions \$ 12,235 Total Project \$ 61,174		8. Field of Project Minority studies
6. Audiences (Direct Beneficiaries) a. Japanese-Americans b. American historians c. Policy-makers (Congress)		10. Public Issues Of Project Effects on Japanese-Americans of their internment during WWII, including significance of such actions as renunciation of U.S. citizenship by part of population.		
9. Location Where Project Will Be Completed Washington University, St. Louis, Missouri		11. Topic (Title) of Project Loyalties and Terrorism at Tule Lake Segregation Center, 1943-45		
12. Description of Proposed Project (Do not exceed space provided) While confined in "Relocation Centers" during World War II, Japanese-Americans were asked to declare their loyalty to the US (and forswear loyalty to Japan). A significant portion of both the Issei and Nisei populations responded in the negative and were moved to the Tule Lake Segregation Center. As a fieldworker, Rosalie H. Wax worked in that Center for fourteen months (February 1944-May 1945) interviewing its residents and staff and observing activities at first-hand. She has in her possession 1004 single-spaced, typed pages of notes amounting to about 406,000 words. Because of the delicacy of the situation (and the rise of terrorism within the Center), much of the material was conveyed to her allusively and indirectly. To be usable by other scholars, the notes must be edited and annotated and provided with appropriate "keys".				
13a. Have you submitted, or do you plan to submit a similar application to another NEH Program? If yes, provide name(s):[year(s) when applicable] No		13b. Have you submitted, or do you plan to submit a similar application to another government or private entity? If yes, provide name(s): [year(s) when applicable] I plan to submit to National Science Foundation.		
IMPORTANT — READ INSTRUCTIONS CAREFULLY BEFORE COMPLETING BLOCKS 14 & 15				
14. Authorizing Official (name & mailing address) H.S. Leahey Grant and Contract Administrator Washington University St. Louis, MO 63130		15. Institution/Organization (name & mailing address) Social Science Institute Washington University Lindell & Skinker Blvds. St. Louis, MO 63130		
Certification: I certify the statements herein are true and correct to the best of my knowledge and belief: Sig. _____ Date / / authorizing official/applicant mo day yr		Type Ins./Org.: Educational, Private Non-Profit		

RESEARCH MATERIALS PROGRAM

Tools, Editions, Translations, Publications

##

Thank you for your letter requesting information regarding your application to the Research Materials Program. Since the number of proposals received during the last cycle was unprecedented in the history of the Program, there may be some delay in preparing our response, but the staff will be turning to your letter as soon as possible.

George F. Farr, Jr.
Assistant Director
Division of Research Programs
(for Research Materials)

30 MAY 1980
**NATIONAL ENDOWMENT
FOR THE HUMANITIES
WASHINGTON, D. C. 20506**

OFFICIAL BUSINESS

MS-350

POSTAGE AND FEES PAID
NATIONAL FOUNDATION ON THE ARTS
AND THE HUMANITIES



Rosalie H. Wap
Department of Anthropology
Washington University
Campus Box 114
St. Louis, Missouri
63130